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**To:** [Cora, Lori](#)  
**Cc:** [KIRK.WILKINSON@lw.com](mailto:KIRK.WILKINSON@lw.com)  
**Subject:** KSD DIL Chart of Insurance Information.xlsx  
**Date:** Tuesday, November 19, 2013 5:15:00 AM  
**Attachments:** [KSD DIL Chart of Insurance Information.xlsx](#)

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Lori,

Attached please find DIL Trust's insurance chart in Excel format.

The "Named Insured" column specifies to whom the policy was issued. Policies issued to Dillingham Corporation likely are subject to claims regarding the Hawaii matter as well as Portland Harbor. Policies issued to Albina Engine & Machine Works are not subject to claims regarding the Hawaii matter. Policies issued to Dillingham Construction likely will not cover Portland Harbor claims because Dillingham Construction is an affiliate, not a parent, of Dillingham Ship Repair, which is the Dillingham entity implicated in the Portland Harbor Superfund Site allocation.

The Notes column includes information about a pollution exclusion clause where such information is available.

As I noted in my prior email, red shading reflects policies that either have absolute pollution exclusions or are otherwise likely unavailable to offer coverage. Green shading indicates the Gulf policy that we discussed on this morning's telephone call.

Please let me know if I can be of further assistance as you evaluate these policies.

Thank you,  
Kira

**Kira S. Dabby**

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Latham & Watkins LLP

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### Chart of Insurance

	A	B	C	D	E	F	G	H	I	J	K
1	Ash ton 's #	Named Insured	Carrier	Policy Number	Policy Period	Limits	Type	Primary or Excess	Source	Notes	Polic y?
2		Dillingham Corporation	Aetna Casualty & Surety Co.	?	5/1/77- 5/1/78			Bumpersho ot	DIL Trust	Travelers cannot find evidence of this policy.	
3	18	Dillingham Corporation	Aetna Casualty & Surety Co.	05AL189534SCA	5/1/81- 5/1/84	\$1 Mil		Primary	1-4-13 Travelers Letter (no limit); Port of Portland Spreadsheet; DIL Trust	Sudden & Accidental Pollution Exclusion	YES
4	27	Dillingham Corporation	Aetna Casualty & Surety Co.	05 GL 9 SCA	5/1/81- 5/1/82	\$1 Mil		Primary	Port of Portland Spreadsheet	Likely Sudden & Accidental Pollution Exclusion	YES, for that polic y num ber
5	28	Dillingham Corporation	Aetna Casualty & Surety Co.	05 GL 9 SCA	5/1/80- 5/1/81	\$1 Mil/\$5 Mil		Primary	Port of Portland Spreadsheet (no \$5 million); 1-4- 13 Travelers Letter (no limit); DIL Trust	Sudden & Accidental Pollution Exclusion	YES

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1	Ash ton 's #	Named Insured	Carrier	Policy Number	Policy Period	Limits	Type	Primary or Excess	Source	Notes	Polic y?
6	1	<b>Dillingham Construction</b>	Aetna Casualty & Surety Company	05XS6150SCA	6/29/87 - 5/1/88	\$10 Mill excess underlying limit or retained limit of \$500,000		Excess Umbrella	1/4/13 Travelers Letter, Travelers policy	Pollution Exclusion clause	YES
7	2	<b>Dillingham Construction Holdings</b>	Aetna Casualty & Surety Company	05XS598404SCA	5/1/88 to 5/1/89	\$8 Mill excess underlying limit or retained limit of \$800,000		Excess Umbrella	1/4/13 Travelers Letter, Travelers policy, DIL Trust	Pollution Exclusion clause	YES
8	3	<b>Dillingham Construction (DSR is covered if subsidiary)</b>	Aetna Casualty & Surety Company	05AL636018SCA	6/29/87 - 5/1/88	Unknown	Unk	Primary	1/4/13 Travelers Letter; DIL Trust	Pollution Exclusion clause	YES
9	4	<b>Dillingham Construction Holdings (DSR as affiliate may be additional insured)</b>	Aetna Casualty & Surety Company	5GL5000588SC	5/1/88 - 5/1/89	Unknown	Unk	Primary	1/4/13 Travelers Letter; DIL Trust	Pollution Exclusion clause	YES
10	17	Dillingham Corporation	Aetna Casualty & Surety Company	05AL42724SRY	1/1/65 - 7/1/65	Unknown	Unk	Primary	1-4-13 Travelers Letter; DIL Trust	Irrelevant: policy issued to DSR before DSR operated in Portland	YES

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11	19	Dillingham Corporation	Aetna Casualty & Surety Company	05AL189541SCA	5/1/84-5/1/86	\$1 Mil		Primary	1-4-13 Travelers Letter (no limit); Port of Portland Spreadsheet; DIL Trust	Sudden & Accidental Pollution Exclusion	YES
12	21	Dillingham Corporation	Aetna Casualty & Surety Company	05AL590844SCA	5/1/86 until 6/29/87	Not Listed		Primary	1-4-13 Travelers letter, no limit; Port of Portland Spreadsheet; DIL Trust list	Pollution Exclusion clause - CANNOT VERIFY	YES
13	22	Dillingham Corporation	Aetna Casualty & Surety Company	05XS6138SCA	5/1/86 until 6/29/87	\$16 Mil		Excess Umbrella	1-4-13 Travelers letter, Travelers policy; Port of Portland Spreadsheet; DIL Trust	Pollution Exclusion clause	YES
14	25	Dillingham Corporation	Aetna Casualty & Surety Company	05AL189541SCA	4/19/84 until Cancelled	\$500k		Primary	Port of Portland Spreadsheet	Sudden & Accidental Pollution Exclusion	YES

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15	26	Dillingham Corporation	Aetna Casualty & Surety Company	05AL189541SCA	4/26/83 until Cancelled	\$500k		Primary	Port of Portland Spreadsheet	Sudden & Accidental Pollution Exclusion	YES, for that polic y num ber
16		Dillingham Corporation	Insurance Co of State of PA (AIG)	410-4519	2/16/70- 1/1/74	\$1 Mil	mbrel	Excess	HI Complaint; DIL Trust	No	YES
17		Dillingham Corporation	New Hampshire Insurance	5177-0562	5/1/77- 5/1/78	\$5 Mil		Excess-First Layer	HI Complaint; referenced in AIG pdf		
18		Dillingham Corporation	Granite State Insurance	6178-5094	5/1/78- 5/1/79	\$5 Mil		Excess	HI Complaint; referenced in AIG pdf		
19		Dillingham Corporation	Granite State Insurance	6180-7343	5/1/80- 5/1/81	\$5 Mil	mbrel	Excess	HI Complaint; DIL Trust	Sudden & Accidental Pollution Exclusion	YES
20		Dillingham Corporation	Granite State Insurance	6181-8449	5/1/81- 5/1/82	\$5 Mil		Excess	HI Complaint; DIL Trust	Renewal of 6180- 7343; Sudden & Accidental Pollution Exclusion	YES
21		Dillingham Corporation	Granite State Insurance	6182-9425	5/1/82- 5/1/83	\$5 Mil	mbrel	Excess	HI Complaint; DIL Trust	Sudden & Accidental Pollution Exclusion with modification	YES

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22		Dillingham Corporation	Granite State Insurance	6184-4345	5/1/84-5/1/85	\$500,000		Excess	HI Complaint; referenced in AIG pdf		
23		Dillingham Corporation	AIG	80-203079	prior to 12/27/85			Primary	HI Complaint		
24		Dillingham Corporation	Insurance Co of State of PA (AIG)	81-212143	12/27/85-7/1/87	\$1 Mil		Primary	HI Complaint; DIL Trust	Sudden & Accidental Pollution Exclusion	YES
25		Dillingham Corporation	AIG	86H11	5/1/86-5/1/87			Excess-First Layer	HI Complaint		
26		Dillingham Corporation	American International Underwriters (AIG)	80-11276	?			?	DIL Trust		
27	40	Albina Engine & Machine Works, Inc.	Argonaut Insurance	L 80-211-80055	2/2/66 to 2/2/69	\$1M/\$1M agg	CGL	Primary	Fields (only \$100,000)	No	YES
28	41	Dillingham Corporation	Continental Insurance Company	L1388900	1/1/69-5/1/75	\$500K	CGL	Primary	Port of Portland spreadsheet; Travelers; DIL Trust	Jennifer Vierling, jvierling@resolute-midwest.com; no mention of pollution exclusion, though perhaps missing endorsement	YES
29		Dillingham Corporation	Continental Insurance Company	EX-C-100272	5/1/79-5/1/80	\$5 Mil xs 1 Mil		Excess	DIL Trust	Sudden & Accidental Pollution Exclusion	YES

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1	Ash ton 's #	Named Insured	Carrier	Policy Number	Policy Period	Limits	Type	Primary or Excess	Source	Notes	Polic y?
30		Dillingham Corporation	Continental Insurance Company	LAP 4823998	7/1/65-1/1/69	\$500,000	CGL	Primary	Travelers; DIL Trust	Jennifer Vierling, jvierling@resolute-midwest.com. No Exclusion	YES
31		Dillingham Corporation	Continental Insurance Company	L4432280	5/1/74-5/1/75	\$500,000	CGL	Primary	Travelers; DIL Trust	Jennifer Vierling, jvierling@resolute-midwest.com; Sudden and Accidental Pollution Exclusion	YES
32		Dillingham Corporation	Fireman's Fund Insurance Company	XEX 130 32 11	6/1/78-6/1/79	\$50,000 part of \$500,000		Excess for worker's comp and employer liability	DIL Trust	Consent for Assignment to Zenith Insurance	YES
33		Dillingham Corporation	Fireman's Fund Insurance Company	XEX 130 32 41	6/1/77-6/1/78	\$50,000 part of \$500,000		Excess for worker's comp and employer liability	DIL Trust	Follows Form, Consent for Assignment to Zenith Insurance	YES
34		Dillingham Corporation	Fireman's Fund Insurance Company	XLX 105 13 09	6/1/76-6/1/77	\$25,000 part of \$200,000		Excess for worker's comp and employer liability	DIL Trust		YES
35	24	Albina Engine & Machine Works, Inc.	Glens Falls Insurance Company	PCL 45-40-32	9/27/63 to 9/27/64	\$50K/\$50K agg	CGL	Primary	Port of Portland Spreadsheet; Fields	No	YES

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1	Ash ton 's #	Named Insured	Carrier	Policy Number	Policy Period	Limits	Type	Primary or Excess	Source	Notes	Polic y?
36	29	Albina Engine & Machine Works, Inc.	Glens Falls Insurance Company	Unknown	9/27/43 to 9/27/44	\$50K/\$50K agg	CGL	Primary	Fields	Not sure	NO
37	30	Albina Engine & Machine Works, Inc.	Glens Falls Insurance Company	SAL 66 01 98	9/27/44 to 9/27/45	\$50K/\$50K agg	CGL	Primary	Fields	Not sure	NO
38	31	Albina Engine & Machine Works, Inc.	Glens Falls Insurance Company	CL 67 85 34	9/27/45 to 9/27/46	\$50K/\$50K agg	CGL	Primary	Fields	Not sure	NO
39	32	Albina Engine & Machine Works, Inc.	Glens Falls Insurance Company	RC 13 73 66	9/27/46 to 9/27/47	\$50K/\$50K agg	CGL	Primary	Fields	Not sure	NO
40	33	Albina Engine & Machine Works, Inc.	Glens Falls Insurance Company	CL 10 08 45	9/27/47 to 9/27/50	\$50K/\$50K agg	CGL	Primary	Fields	Not sure	NO
41	34	Albina Engine & Machine Works, Inc.	Glens Falls Insurance Company	CL 10 34 69	9/27/50 to 9/27/53	\$50K/\$50K agg	CGL	Primary	Fields	Not sure	NO
42	35	Albina Engine & Machine Works, Inc.	Glens Falls Insurance Company	CL 11 51 52	9/27/53 to 9/27/56	\$50K/\$50K agg	CGL	Primary	Fields	No	YES
43	36	Albina Engine & Machine Works, Inc.	Glens Falls Insurance Company	CL 13 07 75	9/27/56 to 9/27/59	\$50K/\$50K agg	CGL	Primary	Fields	No	YES
44	37	Albina Engine & Machine Works, Inc.	Glens Falls Insurance Company	PCL 14 34 47	9/27/59 to 9/27/62	\$50K/\$50K agg	CGL	Primary	Fields	Not sure	PART IAL



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1	Ash ton 's #	Named Insured	Carrier	Policy Number	Policy Period	Limits	Type	Primary or Excess	Source	Notes	Polic y?
45	38	Albina Engine & Machine Works, Inc.	Glens Falls Insurance Company	PCL 45-39-55	9/27/62 to 9/27/63	\$50K/\$50K agg	CGL	Primary	Fields	Not sure	NO
46		Dillingham	Glens Falls Insurance Company	SR7-0042A-71	1/1/68- 1/1/71				Travelers	Jennifer Vierling, jvierling@resolute- midwest.com	
47	51	Dillingham Corporation	Granite State Insurance	61852236	9/19/85 until Cancelled	\$10,000,000 excess		Bumbersho ot	D. Ashton; DIL Trust		Decl.
48	52	Dillingham Corporation	Granite State Insurance	61844321	5/1/84- 5/1/85	\$25 Mil		Excess	Port of Portland Spreadsheet (\$5 million); DIL Trust	Sudden & Accidental Pollution Exclusion, but follows form	YES
49	53	Dillingham Corporation	Granite State Insurance	61834252	5/1/83 - 5/1/84	\$25 Mil		Excess	Port of Portland Spreadsheet (\$5 million); DIL Trust	Sudden & Accidental Pollution Exclusion but follows form	YES
50	23	DIL Trust	Gulf Underwriters Insurance Co	GA6079144	10/22/97 to 10/22/14	\$10M per occ/ \$20M total		[Excess]	Gulf Policy	Currently paying defense fees subject to reservation of rights	YES
51		Dillingham Corporation	Insurance Co of State of PA (AIG)	418-3663	prior to 1970			Excess Liability (bumpersh oot)	DIL Trust	cancelled and re- written into 410- 4519	

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52		Dillingham Corporation	Insurance Co of State of PA (AIG)	4174-5796	1/1/74-1/1/77	\$1 Mil or \$5 Mil		Excess Liability (bumpershoot)	DIL Trust, referenced in AIG pdf	renewal of 410-4519	
53		Dillingham Corporation	Insurance Co of State of PA (AIG)	410-4519	2/16/70-1/1/74	\$1 Mil over \$500,000		Excess Liability (bumpershoot)	DIL Trust	No	YES
54	54	Dillingham Ship Repair	Lloyds- 65% Americas 35%	FBH-86-1000	1/1/86-1/1/87	\$1 Mil		Primary	Unknown		
55		Dillingham Corporation	Lloyd's of London Underwriters	FBH-86-101-D	1/1/86-1/1/87	\$250,000		Vessels/Pollution Liability #14 and Damage to Docks, Piers, Etc. #6	DIL Trust	Specific Pollution Language in Policy	YES
56	39	Albina Engine & Machine Works, Inc.	London Market	Cert. 35939	9/27/64 to 2/3/1966	\$1M CSL		Primary	Fields	No	YES
57	42	Albina Engine & Machine Works, Inc.	London Market	Cert 1524	8/23/57 to 9/27/58	\$250K excess \$50K		Excess	Fields	No	YES
58	43	Albina Engine & Machine Works, Inc.	London Market	Cert 1739	9/27/58 to 9/27/59	\$250K excess \$50K		Excess	Fields	No	YES

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59	44	Albina Engine & Machine Works, Inc.	London Market	Cert 1929	9/27/59 to 9/27/60	\$450K excess \$50K		Excess	Fields	No	YES
60	45	Albina Engine & Machine Works, Inc.	London Market	Cert 2325	9/27/60 to 9/27/61	\$450K excess \$50K		Excess	Fields	No	YES
61	46	Albina Engine & Machine Works, Inc.	London Market	Cert 1073	9/27/61 to 9/27/62	\$450K excess \$50K		Excess	Fields	No	YES
62	47	Albina Engine & Machine Works, Inc.	London Market	Cert 1106	9/27/62 to 9/27/63	\$450K excess \$50K		Excess	Fields	Not sure	NO
63	48	Albina Engine & Machine Works, Inc.	London Market	Cert 1155	9/27/63 to 9/27/64	\$450K excess \$50K		Excess	Fields	No	YES
64	49	Albina Engine & Machine Works, Inc.	London Market	Cert 1283	2/7/66 to 2/7/67	\$5M excess \$100K		Excess	Fields	No	YES
65	50	Albina Engine & Machine Works, Inc.	London Market	Cert 1441/1441	2/7/67 to 2/7/70	\$5M excess \$100K		Excess	Fields	No	YES
66		Dillingham Corporation	Royal	PTG350011	5/1/75- 5/1/76	\$500,000	CGL	Primary	Travelers; DIL Trust	Sudden & Accidental Pollution Exclusion	YES
67		Dillingham Corporation	Royal	PTG350012	5/1/76- 5/1/77	500,000	CGL	Primary	Travelers; DIL Trust	Sudden & Accidental Pollution Exclusion	YES

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68		Dillingham Corporation	Royal	PTG350013	5/1/77- 5/1/78	1,000,000	CGL		Travelers; DIL Trust	Sudden & Accidental Pollution Exclusion	YES
69		Dillingham Corporation	Royal	PTG350014	5/1/78- 5/1/79	?		Primary	Travelers; DIL Trust	Sudden & Accidental Pollution Exclusion	YES
70		Dillingham Corporation	Stonewall Insurance/American Financial Group	34000179	5/1/75- 5/1/76	\$2 million excess of \$800,000 loss fund		Reinsurance-Excess Aggregate	DIL Trust		
71		Dillingham Corporation	Stonewall Insurance/American Financial Group	34000179	5/1/75- 5/1/77	\$1.5 million excess of \$1,000,000 loss fund		Reinsurance-Excess Aggregate	DIL Trust		
72		Dillingham Corporation	First State Insurance Co (The Hartford)	0.000923759	5/1/79- 5/1/80	\$5 million part of \$20 million		Excess	Gina Goodbread (The Hartford)	Sudden & Accidental pollution exclusion	YES
73		Dillingham Corporation	First State Insurance Co (The Hartford)	0.000929153	5/1/80- 5/1/81	\$5 million part of \$20 million		Excess	Gina Goodbread (The Hartford)	Sudden & Accidental pollution exclusion	YES
74		Dillingham Corporation	First State Insurance Co (The Hartford)	0.000931293	5/1/81- 11/1/81	\$5 million part of \$20 million		Excess	Gina Goodbread (The Hartford)	Sudden & Accidental pollution exclusion	YES

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75		Dillingham Corporation	The Hartford	32 OLD 200361	12/1/66- 12/1/69	\$100,000		Primary	Travelers; HI Complaint	only covers liabilities in Hawaii and only landlord-tenant	YES
76		Dillingham Entities	Travelers	05 AL 189524 S	5/1/79- 5/1/81				HI Complaint	Only covers liabilities in Hawaii and Texas	
77		Dillingham Entities	Travelers	05 GL 591651 S	5/1/85- 5/1/86				HI Complaint	Only covers professional liability	
78	5	Dillingham Corporation	Travelers (Policy says Aetna)	05XN109WCA	5/1/83 - 5/1/84	\$5M part of \$50M excess. \$200M excess primary		Excess Overlayer	1/4/13 Travelers Letter	Underlying policy not exhausted; no pollution exclusion but follows form; other shares unknown	YES
79	6	Dillingham Corporation	Travelers (Policy says Aetna)	05XN133WCA	5/1/84 - 5/1/85	\$5M part of \$50M excess. \$200M excess primary		Excess Overlayer	1-4-13 Travelers Letter	Underlying policy not exhausted; no pollution exclusion but follows form; other shares unknown	YES
80	7	Dillingham Corporation	Travelers (Policy says Aetna)	05XN164SCA	5/1/85 - 5/1/86	\$22.5M part of \$50M excess \$200M excess primary		Excess Overlayer	1-4-13 Travelers Letter; DIL Trust	Underlying policy not exhausted; no pollution exclusion but follows form; other shares unknown	YES

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81	8	<b>Dillingham Construction Holdings</b>	Travelers (Policy says Aetna)	05XN289SCA	6/29/87 - 5/1/88	Unknown		Excess Overlayer	1-4-13 Travelers Letter	Underlying policy not exhausted; pollution exclusion (noted but not attached)	YES - parti al
82	9	<b>Dillingham Construction Holdings</b>	Travelers (Policy says Aetna)	05XN392SCA	5/1/89 - 5/1/90	\$5M excess \$30M excess Primary		Excess Overlayer	1-4-13 Travelers Letter	Underlying policy not exhausted; pollution exclusion	YES
83	10	<b>Dillingham Construction Holdings</b>	Travelers (Policy says Aetna)	05XN438SCA	5/1/90 - 5/1/91	\$15M excess \$35M excess Primary		Excess Overlayer	1-4-13 Travelers Letter	Underlying policy not exhausted; pollution exclusion	YES
84	11	<b>Dillingham Construction Holdings</b>	Travelers (Policy says Aetna)	05XN496SCA	5/1/91 - 5/1/92	\$15M excess \$35M excess Primary		Excess Overlayer	1-4-13 Travelers Letter	Underlying policy not exhausted; pollution exclusion	YES
85	12	<b>Dillingham Construction Holdings</b>	Travelers (Policy says Aetna)	5XN22205640SC	5/1/92 - 5/1/93	\$15M part of \$40M excess \$35M excess primary		Excess Overlayer	1-4-13 Travelers Letter	Underlying policy not exhausted; pollution exclusion on Travelers portion; other shares unknown	YES
86	13	<b>Dillingham Construction Holdings</b>	Travelers (Policy says Aetna)	5XN23374147SC	5/1/93 - 5/1/94	\$15M part of \$35M excess \$40M excess primary		Excess Overlayer	1-4-13 Travelers Letter	Underlying policy not exhausted; pollution exclusion on Travelers portion; other shares unknown	YES

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87	14	<b>Dillingham Construction Holdings</b>	Travelers (Policy says Aetna)	5XN2416634250	5/1/94 - 5/1/95	\$10M part of \$35M excess \$65M excess primary		Excess Overlayer	1-4-13 Travelers Letter	Underlying policy not exhausted; pollution exclusion on Travelers portion; other shares unknown	YES
88	15	<b>Dillingham Construction Holdings</b>	Travelers (Policy says Aetna)	5XN2486462250	5/1/95 - 5/1/96	\$10M part of \$30M excess \$70M excess primary		Excess Overlayer	1-4-13 Travelers Letter	Underlying policy not exhausted; pollution exclusion on Travelers portion; other shares unknown	YES
89	16	<b>Dillingham Construction Holdings</b>	Travelers (Policy says Aetna)	5XN2551386265	5/1/96 - 5/1/97	\$10M part of \$30M excess \$70M excess primary		Excess Overlayer	1-4-13 Travelers Letter	Underlying policy not exhausted; pollution exclusion on Travelers portion; other shares unknown	YES